



Medication History for Claims Data Suppliers

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1. Medication History for Claims Data Suppliers

Overview

This is early adopter documentation. Information is subject to change.

About Medication History

The Surescripts Medication History services provide prescribers with the electronic delivery of PBM/payer claim data and/or pharmacy fill data. Common use cases are below. Note, this is subject to change and may not be all inclusive.

- The Surescripts Medication History for Ambulatory services are designed to allow authorized health care providers and their authorized agents to electronically access a patient's medication history. This includes, but is not limited to, PBM/payer supplied data only in connection and conjunction with the treatment of a specific patient in an ambulatory visit or another specific treatment event.
- The Surescripts Medication History for Reconciliation services are designed to allow authorized health care providers and their authorized agents in the Acute Care settings to electronically access a patient's medication history. This includes, but is not limited to, PBM/payer supplied data for the purpose of medication reconciliation.
- The Surescripts Medication History for Long-Term Post-Acute Care (LTPAC) services are designed to allow authorized health care providers and their authorized agents in the LTPAC settings to electronically access a patient's medication history, including but not limited to, information from PBM/payer supplied data for the purpose of medication reconciliation.
- The Surescripts Medication History for Populations (Panel) for Providers is designed to assist authorized health systems, hospitals, risk-bearing groups, and their authorized agents to electronically access a patient's medication history, including but not limited to, PBM/payers and retail pharmacies only in connection and conjunction with the treatment of a specific patient and for the purposes of better managing the health of patient populations.
- The Surescripts Medication History for Populations (Panel) for Health Plans is designed to assist health plans in electronically accessing a patient's medication history, including but not limited to PBM/payer supplied data without a treatment event for activities such as risk stratification, payment operations, care management activities, etc.

About This Document

Surescripts created this document to provide PBM/Payer data suppliers information on Medication History, which provides a structured, electronic format for transmitting prescription claim data.

Note: The terms "message" and "transaction" are used interchangeably throughout this guide. "Transaction" is used when referencing language pulled directly from a standards organization document which uses the term "transaction".

Document References

Please reference the following documents when reading this documentation:

Surescripts provided materials

[Surescripts Connectivity and Authentication Implementation Guide](#)

[Surescripts Eligibility Companion Guide](#)

[Surescripts Error Guidance Supplement](#)

[Surescripts Medication History Companion Guide](#)

Medication History 4.0 Translation Matrix

External materials to be obtained by the customer (available at www.NCPDP.org)

NCPDP SCRIPT Standard 2023011 files:

Note: Schema files version may change and will not be considered final until published in the Generally Available guide.

- XML files (schemas) 20230115
- NCPDP SCRIPT Implementation Guide V2023011 (May 2024 republication)
- XML Standard Guide V2022071

Note: The XML Standard only updates when the guide changes. The latest version is 2022071. NCPDP does not retroactively update XML versions with each new SCRIPT/Specialized Standard. This Standards Matrix shows the XML Guide version for each Standard.

- V203011 SCRIPT Standard Example Guide V10

NCPDP SCRIPT Implementation Recommendations Version (latest version)

NCPDP External Code List (ECL) V202301 (NCPDP online tool)

NCPDP SCRIPT

This guide utilizes the NCPDP (National Council for Prescription Drug Programs) messaging standard Prescriber/Pharmacist Interface SCRIPT version 2023011 as a baseline. To become an NCPDP member, please go to

<https://www.ncdp.org/Membership.aspx>.

The NCPDP SCRIPT Standard 2023011 package contains a complete list of fields and additional requirements. Customers should read and comprehend the associated standards prior to reading this guide.

NCPDP is an American National Standards Institute (ANSI) accredited Standard Development Organization. The NCPDP "SCRIPT" standard is a copyrighted document and may be obtained by contacting:

NCPDP

9240 E. Raintree Drive

Scottsdale, AZ 85260-7518

Phone: (480) 477-1000

Fax: (480) 767-1042

<https://www.ncdp.org>

2. Product Integration & Production

Integration Process

When a customer begins integrating a Surescripts product into their application(s), they will be provided access to all the Surescripts documentation pertaining to the product being implemented. In addition, customers will be provided access to the staging environment to design, develop and test the product integration within their application.

Note: The time frame of the project can vary depending on the customer's resource allocation for the project.

Meeting Requirements

During Integration, customers will ensure their system has met all product requirements. The Certification Testing will focus on message format, application workflow and display in accordance with Surescripts documentation and the associated Application Certification Requirements (ACRs). Upon successful completion of certification and, when applicable, other pre-production network requirements (e.g., Identity Proofing, Attestations, DEA audit), customers will be enabled in production.

For requirements, consider the following:

- Surescripts ACRs are required to be met to achieve production status on the Surescripts network and will be enforced as part of certification.
- To ensure high-quality transactions, Surescripts applies additional business rules above and beyond the NCPDP schema defined requirements that will cause a message to be successful or rejected.
- Surescripts test cases do not cover all possible scenarios in production. Customers are responsible for testing any other applicable scenarios specific to their production environment.
- In accordance with the customer's legal agreement with Surescripts, each customer is responsible for ensuring compliance with all applicable laws including, but not limited to, local and state laws/regulations where doing business.

Surescripts Terminology Usage

For terminology usage throughout this guide, consider the following:

Term	Term Usage
must	Requirements that are enforced as part of the production code or by Surescripts business rules. Note: Some business rules reside in the element details section of the guide.
shall	The requirements customers are required to meet in order to be certified on the Surescripts network. These requirements will be enforced as part of certification, not through business rules.
should	Used for guidance and best practices to produce superior results and enhance electronic messaging. Best practices can also be found in the Best Practice sections. Customers are encouraged, but not required, to meet best practices in order to be certified on the Surescripts network.
M.##	Designates a Surescripts Medication History ACR.
S.##	Designates an ACR that is shared with other Surescripts products.

Note: Application certification requirements are found throughout the Guide and summarized in the [Application Certification Requirements Summary](#).

Transition to Production

Once certification and the contract are complete and approved by the Surescripts Certification Review Board, the customer is ready to move into production. Surescripts will configure the production connection and validate successful operations with the customer. Prior to the transition to production, Surescripts Account Management will work with the customer and internal Surescripts teams to discuss the following:

- Production support contacts (Escalation Matrix)
- Support process and training
- Support hours

Communication Rules

Please refer to the Connectivity and Authentication Guide for additional connectivity and authentication information. For the network to be reliable, there are communication rules to which all customers must adhere.

Synchronous Processing

Each message that Surescripts submits to a customer has a time-out parameter. If Surescripts does not get a response from the data supplier within the specified time period, the RxHistoryRequest message times out. If an RxHistoryRequest timeout occurs, an error will be indicated in the RxHistoryResponse message returned to the sender. If the sender has timed out in the response to the delivered RxHistoryResponse, the message audit will indicate that the message was not successfully delivered.

- When sending a message to Surescripts, the provider vendor system should set the http timeout to no less than 30 seconds.
- A receiving system must reply with a valid response within 10 seconds.

UTC Time Format

By using Coordinated Universal Time (UTC), the receiver of a message will know the time regardless of their time zone. For example: If a message was sent from Boston at 5:30PM EDT (Eastern Daylight Time), the time would be sent as 21:30 UTC time. If this message was received in Chicago CDT (Central Daylight Time), the 21:30 UTC could be converted to the local CDT time of 4:30PM. Refer to http://en.wikipedia.org/wiki/Coordinated_Universal_Time, or <http://www.w3.org/XML/> for more information. UTC time must be synchronized with NIST (National Institute of Standards and Technology) and the difference must be less than one minute. Drift of no more than one minute will be acceptable.

When sending only a Date (not Date and Time), it should be sent in your local time zone, and the receiver should interpret it in their local time. Neither party should attempt to convert the Date to UTC.

All standard programming languages should have a function for generating a date in the UTC time zone or displaying a date in the local time zone. The format of the date/time fields in the XML schema must use the xsd:dateTime format. Examples of that format are: CCYY-MM-DDTHH:MM:SS.FZ, where the UTC time zone may be specified as Z, + 00:00, or - 00:00. For example, 2013-01-01T16:09:04.5Z, or 2013-01-01T16:09:04.5-00:00, or 2013-01-01T16:09:04.5+00:00, where 16:09:04.5Z would be 16 hours, 09 minutes, 04 seconds, 5 fractional seconds. UTC time is denoted by either the "Z" in the first example or the "-00:00" in the second example, or the "+00:00" in the third example. The fractional seconds is not required. Refer to xsd:dateTime for more information.

For simple date fields that do not include the time portion, the format is: CCYY-MM-DD.

Character Set

The character set contains ASCII values 32 – 126, which includes:

Character Type	Characters
Symbols	! " # \$ % & ' () * + , - . / : ; < = > ? @ [\] ^ _ ` { } ~
Numerals	0 to 9
Letters, upper and lower case	A to Z, a to z

Unprintable characters, such as control characters, are not used within the field sets. Defined unprintable characters are used as delimiters.

UTF-8 is the required character encoding for XML. Other encoding formats are not supported by Surescripts.

Note: It is recommended that customers declare their UTF-8 formatting in the message header.

Reference: NCPDP XML Standard, Version 2022071. Sec. 13.1.4: Page 83

Compliance

Surescripts goal is efficiency and consistency across the network so all customers can meet the highest measures of patient safety, end-to-end reliability, and quality. To ensure that customers comply with and adhere to the approved certification requirements, Surescripts:

- Monitors customers in production to ensure all network customers remain in compliance with certification requirements and contractual terms.
- Initiates a remediation process for identified compliance issues.

To ensure network and patient safety, customer agrees to notify Surescripts of any modifications through use of the Surescripts recertification form. Upon receipt of this form, changes will be reviewed, and a determination made as to what (if any) level of certification may be required before being placed into production.

When changes are made to a customer's implementation, the customer should advise their account manager. The customer will be given a recertification guide to provide details regarding the changes made. Upon receipt of the completed document, the details will be reviewed, and a determination will be made as to what (if any) level of recertification is necessary.

As a reminder, Surescripts conducts certification with customers to ensure the application adheres to network requirements. Surescripts will enforce mandatory fields as required by the Standards body and Surescripts guide requirements. To maximize interoperability, customers are recommended to support optional fields that have been created to address gaps in discrete data needs and the many solutions that are in place for the benefit of the receiver. Surescripts encourages, but does not guarantee, the use of optional discrete fields to support end user workflows.

This guide is intended for certification on our network only and is not intended to ensure compliance with state and federal law. In accordance with the customer's legal agreement with Surescripts, each customer is responsible for conducting its own due diligence to ensure compliance with all applicable laws and requirements, including, but not limited to, local and state laws and regulations in which the customer's application is deployed and used.

3. Messages Overview

Message Description(s)

RxHistoryRequest

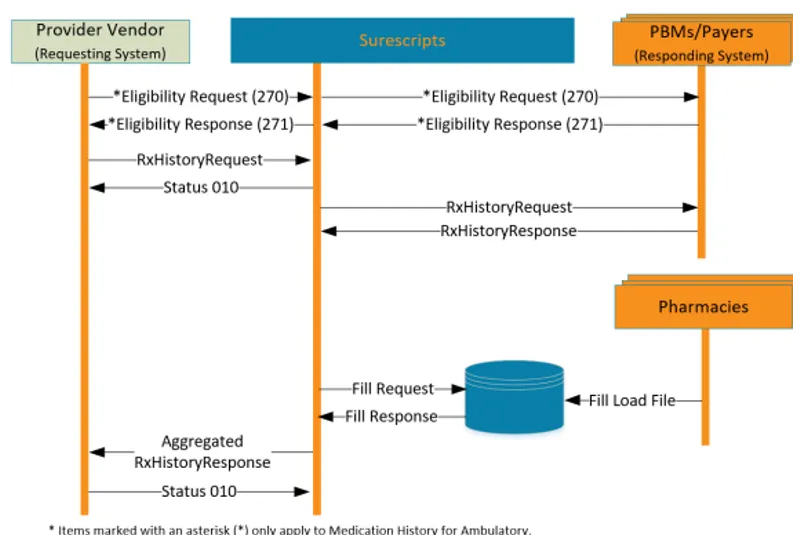
- The provider sends patient demographic information in the RxHistoryRequest message. Requestors of medication history can request for up to 12 months of history from the date of the request.

Note: In an acute setting, if the patient encounter spans multiple days, Medication History Requests should be sent no more than twice during the patient's stay.

RxHistoryResponse

- The RxHistoryResponse message returns information about outpatient prescriptions that the patient's PBM/payer has paid for over a selected period of time and contains retail fill data from pharmacies connected to Surescripts for Medication History.
- Up to 300 medications are returned within the dates requested within the last 12 months, starting with the most recent. See RequestedDates in the RxHistoryRequest XML Requirements section of the Medication History Companion Guide for more information.
- When more medications are available to be returned from the PBM/Payers, the PBM/Payers must include an Approved/ReasonCode=AQ in the RxHistoryResponse and will continue to receive RxHistoryRequests from Surescripts until a total of up to 300 medications have been returned from all of the RxHistoryResponses returned.

Medication History Request/Response Message Flow



RxHistoryRequest Highlights

Please refer to the NCPDP documentation and the Surescripts Medication History Companion Guide for additional details.

- Medication History RxHistoryRequests to PBM/Payers will include product codes for the requesting service. They are outlined below:
 - Medication History for Ambulatory** will contain "S000000000000001"

- **Medication History for Reconciliation** will contain "S00000000000002"
- **Medication History for Populations** will contain "S00000000000003"
- **Medication History for LTPAC** will contain "S00000000000013"
- **Medication History for Health Plans** will contain "S00000000000005"
- PBM/Payers responding to an RxHistoryRequest should not reject requests due the lack of an NPI.

4. Example Messages

RxHistoryRequest – Surescripts to PBM/Payer

XML

```
<?xml version="1.0" encoding="utf-8"?>
<Message DatatypesVersion="20230114" TransportVersion="20230114" TransactionDomain="SCRIPT" TransactionVersion="20230114" StructureVersion="20230114">
  <Header>
    <To Qualifier="ZZZ">D00000000100853</To>
    <From Qualifier="ZZZ">D00000000104445</From>
    <MessageID>156e8ee5eabf4438b59f17897ceffe8a</MessageID>
    <RelatesToMessageID>c93be73261c6b16509df078d25538ca3</RelatesToMessageID>
    <SentTime>2024-05-08T15:45:33.450Z</SentTime>
    <Security>
      <Sender>
        <TertiaryIdentification>S000000000000002</TertiaryIdentification>
      </Sender>
    </Security>
    <SenderSoftware>
      <SenderSoftwareDeveloper>Surescripts</SenderSoftwareDeveloper>
      <SenderSoftwareProduct>Medication History</SenderSoftwareProduct>
      <SenderSoftwareVersionRelease>42.0.0</SenderSoftwareVersionRelease>
    </SenderSoftware>
    <TestMessage>1</TestMessage>
  </Header>
  <Body>
    <RxHistoryRequest>
      <BenefitsCoordination>
        <PayerIdentification>
          <MutuallyDefined>InterchangeControlNumber</MutuallyDefined>
        </PayerIdentification>
        <Consent>Y</Consent>
        <PBMMemberID>PRO-PBM^NY^217754X</PBMMemberID>
      </BenefitsCoordination>
      <Patient>
        <HumanPatient>
          <Names>
            <Name>
              <LastName>Abingdon</LastName>
              <FirstName>Perseus</FirstName>
            </Name>
          </Names>
          <GenderAndSex>
            <AdministrativeGender>M</AdministrativeGender>
          </GenderAndSex>
          <DateOfBirth>
            <Date>2012-09-01</Date>
          </DateOfBirth>
          <Address>
            <AddressLine1>1 WASHINGTON ST</AddressLine1>
            <City>MONTGOMERY</City>
            <StateProvince>AL</StateProvince>
            <PostalCode>10805</PostalCode>
          </Address>
        </HumanPatient>
      </Patient>
      <Prescriber>
        <NonVeterinarian>
          <Identification>
            <NPI>1962441741</NPI>
          </Identification>
        </NonVeterinarian>
      </Prescriber>
    </RxHistoryRequest>
  </Body>
</Message>
```

```
<Names>
  <Name>
    <LastName>Jonson</LastName>
    <FirstName>Tim</FirstName>
  </Name>
</Names>
</NonVeterinarian>
</Prescriber>
<RequestedDates>
  <StartDate>
    <Date>2023-05-09</Date>
  </StartDate>
  <EndDate>
    <Date>2024-05-08</Date>
  </EndDate>
</RequestedDates>
</RxHistoryRequest>
</Body>
</Message>
```

RxHistoryResponse – PBM/Payer to Surescripts

XML

```
<?xml version="1.0" encoding="utf-8"?>
<Message DatatypesVersion="20230114" TransportVersion="20230114" TransactionDomain="SCRIPT" TransactionVersion="20230114" StructureVersion="20230114">
  <Header>
    <To Qualifier="ZZZ">D00000000104445</To>
    <From Qualifier="ZZZ">D00000000100853</From>
    <MessageID>8e72c1412c0e43b196bf9bbb2eee5427</MessageID>
    <RelatesToMessageID>156e8ee5eabf4438b59f17897ceffe8a</RelatesToMessageID>
    <SentTime>2024-05-08T15:45:35.312Z</SentTime>
    <SenderSoftware>
      <SenderSoftwareDeveloper>Surescripts</SenderSoftwareDeveloper>
      <SenderSoftwareProduct>AutoResponderMedHistory</SenderSoftwareProduct>
      <SenderSoftwareVersionRelease>4.0.0.69</SenderSoftwareVersionRelease>
    </SenderSoftware>
    <TestMessage>true</TestMessage>
  </Header>
  <Body>
    <RxHistoryResponse>
      <Response>
        <Approved/>
      </Response>
      <BenefitsCoordination>
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          <MutuallyDefined>InterchangeControlNumber</MutuallyDefined>
        </PayerIdentification>
        <Consent>Y</Consent>
        <PBMMemberID>PRO-PBM^NY^217754X</PBMMemberID>
      </BenefitsCoordination>
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            <Name>
              <LastName>Abingdon</LastName>
              <FirstName>Perseus</FirstName>
            </Name>
          </Names>
          <GenderAndSex>
            <AdministrativeGender>M</AdministrativeGender>
          </GenderAndSex>
          <DateOfBirth>
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          </DateOfBirth>
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            <City>MONTGOMERY</City>
            <StateProvince>AL</StateProvince>
            <PostalCode>10805</PostalCode>
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      </Patient>
      <Prescriber>
        <NonVeterinarian>
          <Identification>
            <NPI>1962441741</NPI>
          </Identification>
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```

```

        <LastName>Jonson</LastName>
        <FirstName>Tim</FirstName>
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</Names>
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</Prescriber>
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                <Qualifier>SCD</Qualifier>
            </ProductCode>
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        <Date>2024-02-07</Date>
    </LastFillDate>
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        <Qualifier>ABF</Qualifier>
        <Value>N390</Value>
        <Description>Chronic urinary tract infection</Description>
    </Diagnosis>
    <RefillsRemaining>0</RefillsRemaining>
    <HistoryPrescriberOrderNumber>PA-5369</HistoryPrescriberOrderNumber>
    <HistorySource>
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            <SourceQualifier>Payer</SourceQualifier>
        </Source>
    </HistorySource>
</MedicationDispensed>
<MedicationDispensed>
    <DrugDescription>methylPREDNISolone 4 MG DOSEPAK</DrugDescription>
    <Product>
        <DrugCoded>
            <NDC>59762444002</NDC>

```

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        <ProductCode>
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        </Strength>
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    </LastFillDate>
    <Substitutions>
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    <Diagnosis>
      <ClinicalInformationQualifier>1</ClinicalInformationQualifier>
      <Qualifier>ABF</Qualifier>
      <Value>H1032</Value>
      <Description>Unspecified acute conjunctivitis, left eye</Description>
    </Diagnosis>
    <RefillsRemaining>0</RefillsRemaining>
    <HistoryPrescriberOrderNumber>PA-5398</HistoryPrescriberOrderNumber>
    <HistorySource>
      <Source>
        <SourceQualifier>Payer</SourceQualifier>
      </Source>
    </HistorySource>
  </MedicationDispensed>
  <MedicationDispensed>
    <DrugDescription>Tobramycin and Dexamethasone Ophthalmic Suspension</DrugDescription>
    <Product>
      <NonDrugCoded>
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          <Code>24208029510</Code>
          <Qualifier>UP</Qualifier>
        </ProductCode>
        <ProductCode>
          <Code>309683</Code>
          <Qualifier>SCD</Qualifier>
        </ProductCode>
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            <Code>C28253</Code>

```

```

        </StrengthUnitOfMeasure>
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  <Qualifier>ABF</Qualifier>
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</Diagnosis>
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    <SourceQualifier>Payer</SourceQualifier>
  </Source>
</HistorySource>
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      <ProductCode>
        <Code>1116927</Code>
        <Qualifier>SCD</Qualifier>
      </ProductCode>
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            <Code>C28254</Code>
        </QuantityUnitOfMeasure>
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        <Qualifier>ABF</Qualifier>
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    </HistorySource>
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    <LastFillDate>
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    <HistorySource>
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    <DrugDescription>Sprycel 70 mg tablet</DrugDescription>
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    <Qualifier>ABF</Qualifier>
    <Value>C921</Value>
    <Description>Chronic myelogenous leukemia, Philadelphia chromosome (Ph1) positive</Description>
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5. Disclaimers

Guide Disclaimer

In the event that the Participant chooses to make any software changes based upon recommendations in this guide, the Participant acknowledges and agrees that Surescripts Network shall bear no responsibility or liability for the Participant's changes or any effects thereof. The Participant shall also be required to transition to the new guide at such time as said guide is published, which may involve different or additional parameters than are published in this guide.

Examples Disclaimer

Examples provided throughout this guide are not intended to be all-inclusive. This pertains to example workflows, element-specific (field) examples, or message examples. Participants should not restrict coding to the examples used herein.

When developing, this guide should be used along with additional documentation referenced and applicable customary coding standards.

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6. Document Change Log

▼ 2026-07-30

Section Title	Change Description
N/A	N/A - Developer Portal - initial release